

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT APPLICATION

Applicant: Peter Heinrich Barber et al.

Art Unit:

Serial No.: 09/682,377

Examiner:

Unknown Nisolas

Filed:

08/27/2001

Atty. Docket: DE920000069

Title:

PREVENTION OF CONTAMINATION ON PLATED WAFER SURFACES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.56, 1.97, 1.98

RECEIVED

FEB 2 4 20031

TC 1700

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Applicants submit herewith form PTO-1449, listing patents, publications, or other information of which they are aware which they believe may be material to patentability pursuant to 37 CFR 1.56(b), and in respect of which there may be a duty to disclose under 37 CFR 1.56(a), together with legible copies of the patents, publications, or other information listed

While the items submitted with this Information Disclosure Statement may be material to patentability pursuant to 37 CFR 1.56, in accordance with 37 CFR 1.97(h) it shall not be construed to be an admission that any patent, publication, or other information cited is "prior art" or is material to patentability for this invention unless specifically designated as such. In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other information material to patentability, as defined in 37 CFR 1.56(b), exists.

Respectfully submitted,

Date: 2/12/2003

Anthony J. Canale, Reg. No. 51,526

IP Law Department

IBM Corp.

1000 River Street

Essex Junction, VT 05452 Telephone: 802-769-8782

TRANSMITTAL O	Docket No. DE920000069US1							
In Re Application Of: Barber et al.								
Serial No.	Filing Date	Examiner	Group Art Unit					
09/682,377 08/27/2001		Unknown	1741					
Title: PREVENTION Q	ED WAFER SURFACES	RECEIVED FEB 2 4 2003						
Address to: Assistant Commissioner for Patents Washington, D.C. 20231								
37 CFR 1.97(b)								
1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.								
37 CFR 1.97(c)								
2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:								
☐ the staten	☐ the statement specified in 37 CFR 1.97(e);							
OR								
☐ the fee se	☐ the fee set forth in 37 CFR 1.17(p).							

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. DE92000069US1		
In Re Application: Barber et al.							
	Serial No.	Filing Date TRADEN	S	Examiner		Group Art Unit	
	09/682,377	08/27/2001 A TRADEN	RIC	Unknown		1741	
PREVENTION OF CONTAMINATION ON PLATED WAFER SURFACES					RECEIVED		
						FEB 2 4 2003	
						TC 1700	
Payment of Fee							
(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))							
 □ A check in the amount of is attached. ☑ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0456 as described below. A duplicate copy of this sheet is enclosed. □ Charge the amount of ☑ Credit any overpayment. ☑ Charge any additional fee required. 							
Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail							
I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F				I certify that this document and fee is being deposited Feb. 13, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.			
	(Date)			Cham			
<u>-</u>		Signature		Signature of Person Mailing Correspondence C. Mueller			
	Typed or Printed /	Name of Person Signing Certificate		Typed or Printed Name of Person Mailing Certificate			
*This certificate may only be used if paying by deposit account. Dated: 2/12/2003							
cc:							